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Application Number 10/747,815 NSMITTAL Filing Date 12/29/2003 **FORM** First Named Inventor **TAKAHASHI** (to be used for all correspondence after initial filing) Art Unit 2154 **Examiner Name** To be assigned Total Number of Pages in This Submission 9319M-000620 Attorney Docket Number

ENCLOSURES (check all that apply)							
Fee Transmittal F	Fee Transmittal Form		Drawing(s)		er Allowance Communication to		
Fee Attached		Licensing-related Papers			peal Communication to Board of peals and Interferences		
Amendment / Reply		Petition			peal Communication to Group peal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application		Pro	prietary Information		
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		☐ Sta	tus Letter		
Extension of Time Request		Terminal Disclaimer			ner Enclosure(s) ase identify below):		
Express Abandonment Request		Request for Refund CD, Number of CD(s)			Copies of application and drawings from 10/742,699, 10/747,817, 10/747,814, 10/755,711		
Information Disclosure Statement					Return receipt postcard		
Certified Copy of Priority Document(s)		Remarks The Commisioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.					
Response to Missing Parts/ Incomplete Application							
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual name	or . Hamess, Dickey & Pic		Attorney Name G. Gregory Schivley Paryant E_Wade		Reg. No. 27,382 40,344		
Signature Sand Lucke							
Date	April 15 , 2004						
CERTIFICATE OF TRANSMISSION/MAILING							

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Express Mail G. Gregory Schivley Bryant E, Wade EV 406 076 745 US (4/15/2004) Label No. Signature . 2004 Date

This collection of information is required to 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/747.815

Filing Date:

December 29, 2003

Applicant:

TAKAHASHI

Group Art Unit:

2154

Examiner:

To be assigned

Title:

DEVICE MONITORING SYSTEM, MONITORING

PROGRAM, AND MONITORING METHOD AND

DEVICE

Attorney Docket:

9319M-000620

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. COPIES

A. X Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cross-referenced pending U.S. application listed below in Section IV, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. ____ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

C. Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.					
D This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))					
CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)					
A. X Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).					
B A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):					
 See the attached foreign patent office communication from a counterpart foreign application. English translations are provided. Other: 					
CThe following additional information is provided for the Examiner's consideration.					
CROSS REFERENCE TO RELATED APPLICATION(S)					
A. X The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.					
Social No. Eiling Data Art Unit					

Serial No.	Filing Date	<u>Art Unit</u>
10/742,699	12/19/2003	2133
10/747,817	12/29/2003	To be assigned
10/747,814	12/29/2003	To be assigned
10/755,711	1/09/2004	To be assigned

III.

IV.

V. THIS IDS IS BEING FILED UNDER

A. X 37 C.F.R. § 1.97(b): (check only one box) 1. within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required. 3. X before the mailing of a first Office Action on the merits (37) C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). 4. before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required. B. ____ **37 C.F.R. § 1.97(c)**: (check only one box) before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution. 1. ____No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p). 2. See the certification below. No fee is required. C. 37 C.F.R. § 1.97(d): after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee. 1. ___ See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p). <u>CERTIFICATION UNDER 37 C.F.R. § 1.97(e):</u> (check <u>only</u> one box) The undersigned hereby certifies that: A. each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. §

VI.

1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or B. no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)). C. ____Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS. STATEMENT UNDER 37 CFR 1.704(d) The undersigned hereby states that: each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS. PAYMENT OF FEES (check only one box) A. A check in the amount of \$180.00 is enclosed for the above-identified fee. B. ____Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

VII.

VIII.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: Apr: 15, 2004

Gregory Schivley

Reg. No. 27,382 Bryant E. Wade Reg. No. 40,344

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[BEW/cmh]